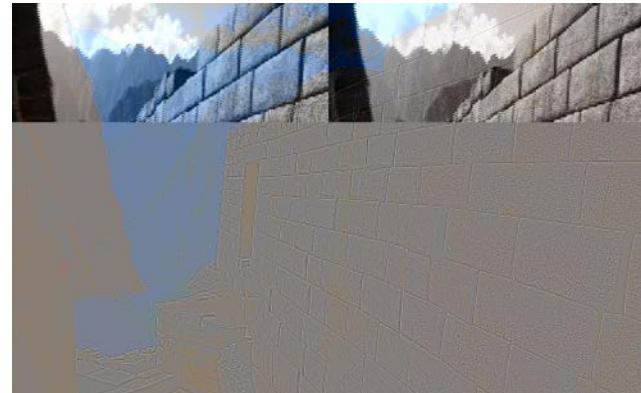
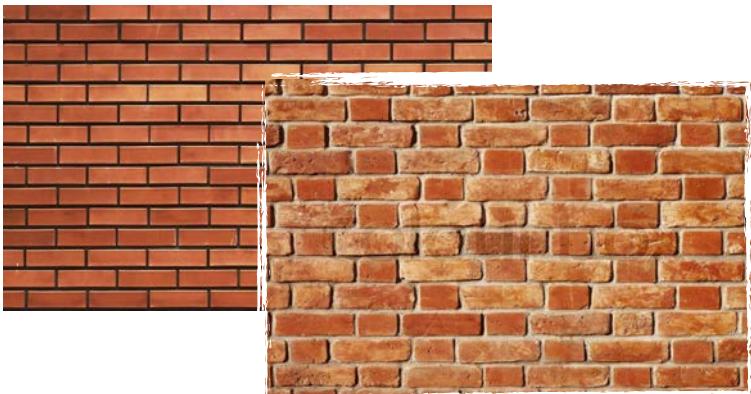


Part IV

Waves in periodic discontinua

Motivation

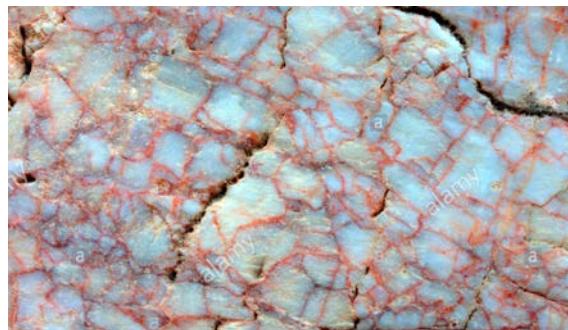
Masonry



Fractured rock



www.alamy.com/stock-photo/fracture-in-rock.html



Sulem & Mullhaus (1997)

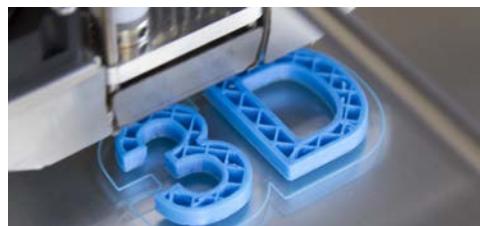
Stefanou, Sulem &
Vardoulakis (2008,2010)

Bacigalupo &
Gambarotta (2012)

Brajanovski, Gurevich,
Schoenberg (2005)

Galvin & Gurevich (2015)

Manufacturing of phononic crystals



$\lambda > 4\text{-}5$ block sizes

Setting

Governing system

$$-\nabla_{\mathbf{k}} \cdot (G \nabla_{\mathbf{k}} \tilde{u}) - \omega^2 \rho \tilde{u} = \tilde{f} \quad \text{in } Y,$$

$$[\![t_{\boldsymbol{\nu}}^{\mathbf{k}}[\tilde{u}, \tilde{u}]]\!] = 0, \quad [\![\tilde{u}]\!] + \kappa^{-1} t_{\boldsymbol{\nu}}^{\mathbf{k}}[\tilde{u}, \tilde{u}] = 0 \quad \text{on } \Gamma,$$

$$\lvert \tilde{u} \rvert_j^- = 0, \quad \lvert t_{\mathbf{n}}^{\mathbf{k}}[\tilde{u}, \tilde{u}] \rvert_j^+ = 0 \quad j = \overline{1, d} \quad \text{on } \partial Y,$$

Contact/surface traction

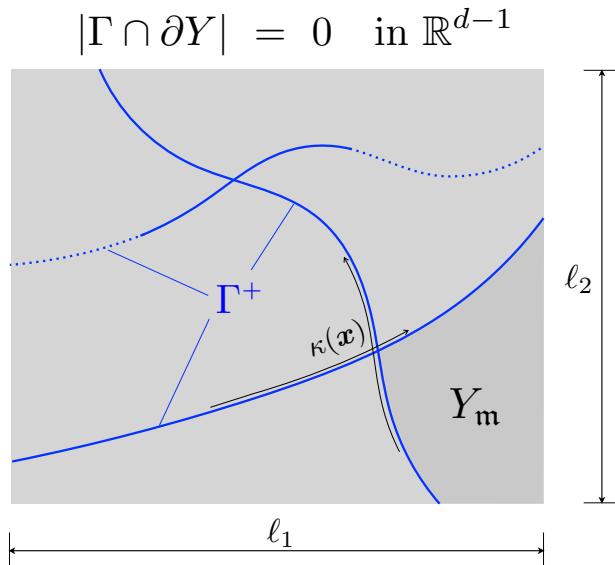
$$t_{\boldsymbol{\nu}}^{\mathbf{k}}[g, h](\mathbf{x}) = \boldsymbol{\nu}(\mathbf{x}) \cdot G(\nabla g(\mathbf{x}) + i \mathbf{k} h(\mathbf{x}))$$

Jump

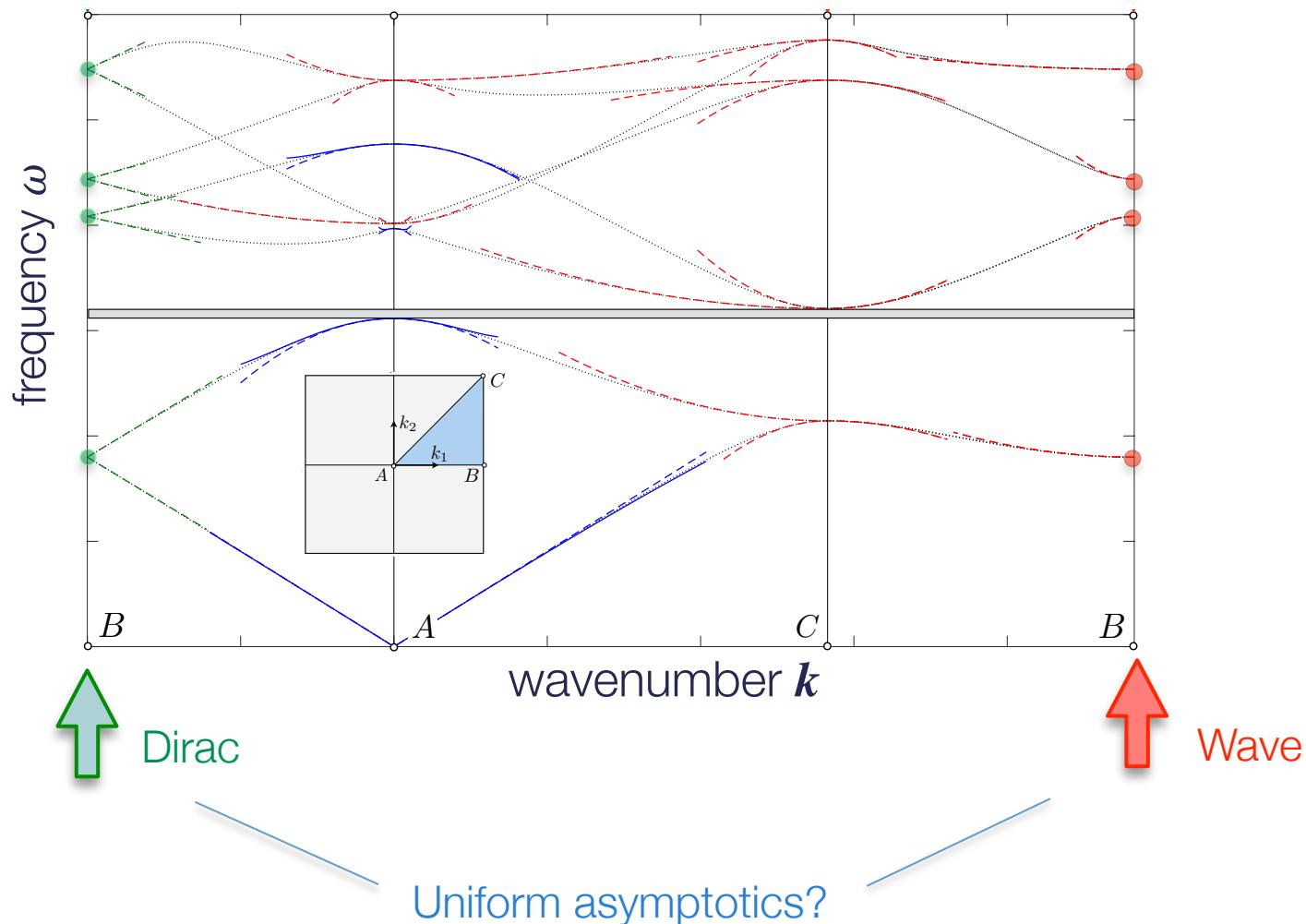
$$[\![g]\!](\mathbf{x}) = \lim_{\delta \rightarrow 0} g(\mathbf{x} + \delta \boldsymbol{\nu}(\mathbf{x})) - g(\mathbf{x} - \delta \boldsymbol{\nu}(\mathbf{x})), \quad \mathbf{x} \in \Gamma$$

Broken (periodic) Sobolev spaces

$$H_p^1(Y) = \{g \in L^2(Y) : g \in L^2(Y_{\mathfrak{m}}), \nabla g \in (L^2(Y_{\mathfrak{m}}))^d, \lvert g \rvert_j^- = 0 \text{ on } \partial Y, \mathfrak{m} = \overline{1, \mathfrak{M}}\}$$



Repeated eigenvalues



Cone or no cone?

Ansatz

$$O(\epsilon^{-2}): -\tilde{\lambda}_n^{\mathbf{a}} \rho \tilde{w}_0 - \nabla \cdot (G \nabla \tilde{w}_0) = 0 \quad \text{in } Y_{\mathbf{a}} \quad \Leftrightarrow \quad \tilde{w}_0(\mathbf{x}) = \sum_q w_{0q} \tilde{\varphi}_{nq}^{\mathbf{a}}(\mathbf{x})$$

$O(\epsilon^{-1})$: \rightarrow Identity

$O(\epsilon^0)$: \rightarrow Effective equation

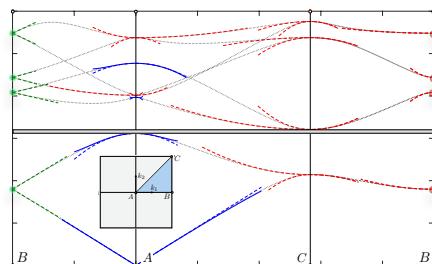
Generalized EVP

$$\tau = -\sigma \check{\omega}^2$$

$$\sum_q A_{pq} w_{0q} - \tau \sum_q D_{pq} w_{0q} = 0, \quad p = \overline{1, Q}$$

$$A_{pq} = \theta_{pq}^{(0)} \cdot i \hat{\mathbf{k}}, \quad \theta_{pq}^{(0)} = \langle G \nabla \tilde{\varphi}_{nq}^{\mathbf{a}} \rangle_{\mathbf{a}}^{p\varphi} - \langle G \nabla \tilde{\varphi}_{np}^{\mathbf{a}} \rangle_{\mathbf{a}}^{q\varphi}$$

A_{pq} : fixed rank



Near-trivial A_{pq}

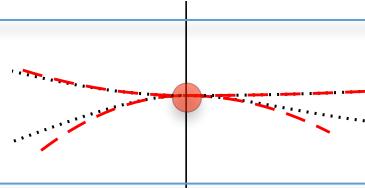
Generalized EVP

$$O(\epsilon^{-1}): \quad \sum_q A_{pq} w_{0q} - \tau \sum_q D_{pq} w_{0q} = 0, \quad p = \overline{1, Q}$$

$O(\epsilon)$



$$\tau = -\sigma \check{\omega}^2 = 0$$



$$\text{Residual: } -\epsilon \sum_q \bar{A}_{pq} w_{0q}, \quad \bar{A}_{pq} = \epsilon^{-1} A_{pq}, \quad p = \overline{1, Q}$$

Effective system

$$O(1): \quad - \sum_q (B_{pq} + \underline{\bar{A}_{pq}}) w_{0q} - \sigma \hat{\omega}^2 \sum_q D_{pq} w_{0q} = \langle e^{i \mathbf{k}^\alpha \cdot \mathbf{x}} \rangle_{\alpha}^{p\varphi}, \quad p = \overline{1, Q}$$

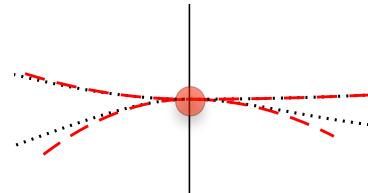
Near-trivial A_{pq}

$$O(1): \quad - \sum_q (B_{pq} + \bar{A}_{pq}) w_{0q} - \sigma \hat{\omega}^2 \sum_q D_{pq} w_{0q} = \langle e^{i\mathbf{k}^\alpha \cdot \mathbf{x}} \rangle_{\mathbf{a}}^{p\varphi}, \quad p = \overline{1, Q}$$

$$\mu_{pq}^{(0)} : (i\hat{\mathbf{k}})^2 \quad \epsilon^{-1} \theta_{pq}^{(0)} \cdot i\hat{\mathbf{k}}$$

$\hat{\mathbf{k}} \sim \text{orthogonal to } \theta_{pq}^{(0)}$

2nd-order polynomial in $i\hat{\mathbf{k}}$



Scaling: $\underline{\omega^2} = \underline{\tilde{\lambda}_n^\alpha} + \epsilon \sigma \check{\omega}^2 + \epsilon^2 \sigma \hat{\omega}^2$

$$\sigma \check{\omega}^2 = \frac{\omega^2 - \tilde{\lambda}_n^\alpha}{\epsilon}, \quad \sigma \hat{\omega}^2 = \frac{\omega^2 - \tilde{\lambda}_n^\alpha}{\epsilon^2}, \quad \Rightarrow \quad \sigma \hat{\omega}^2 = \underline{\epsilon^{-1} \sigma \check{\omega}^2}$$

Let $\hat{\mathbf{k}}$ depart from the normal to $\theta_{pq}^{(0)}$ $\rightarrow A_{pq} \rightarrow O(1)$ $\bar{A}_{pq} = \underline{\epsilon^{-1} A_{pq}}$

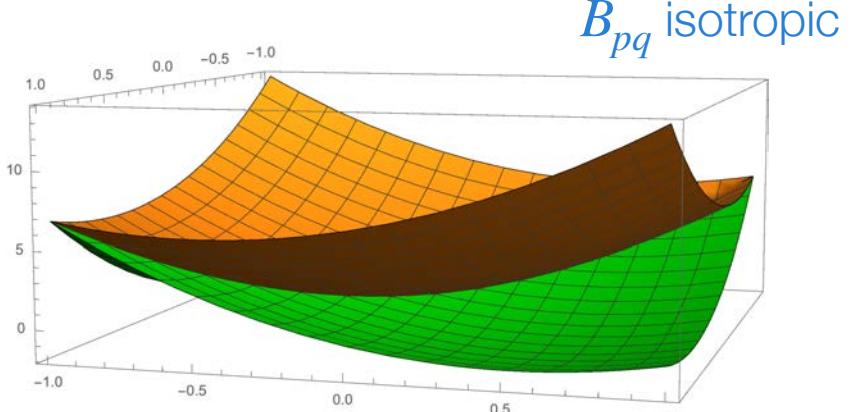
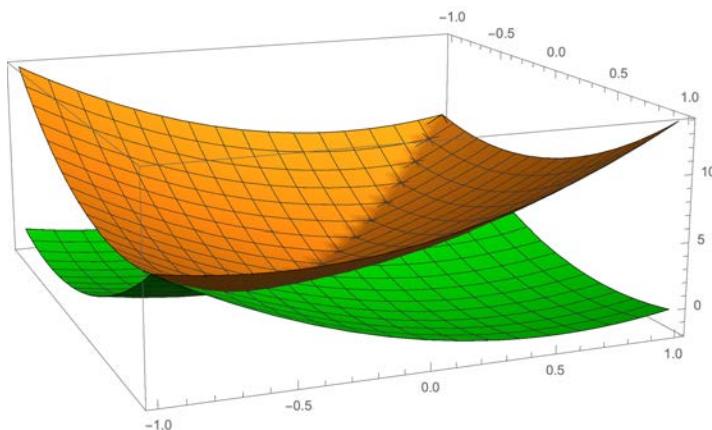
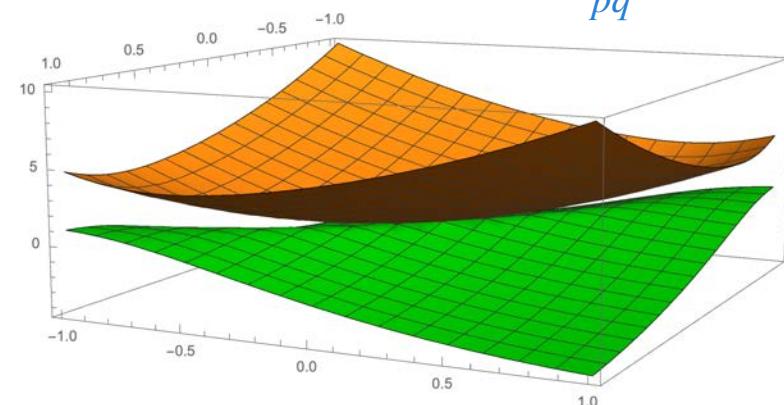
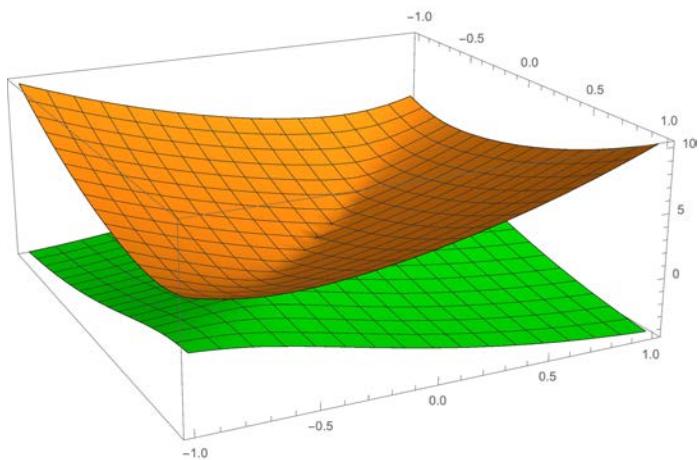
$$O(1): \quad - \sum_q A_{pq} w_{1q} - \sigma \check{\omega}^2 \sum_q D_{pq} w_{1q} = \langle e^{i\mathbf{k}^\alpha \cdot \mathbf{x}} \rangle_{\mathbf{a}}^{p\varphi}$$

$$w_{0q} \mapsto \epsilon w_{1q}$$

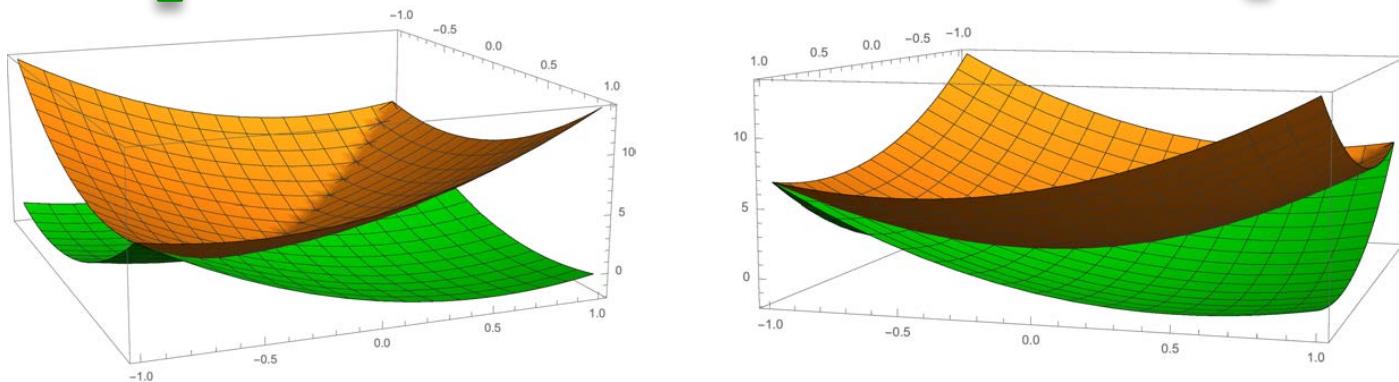
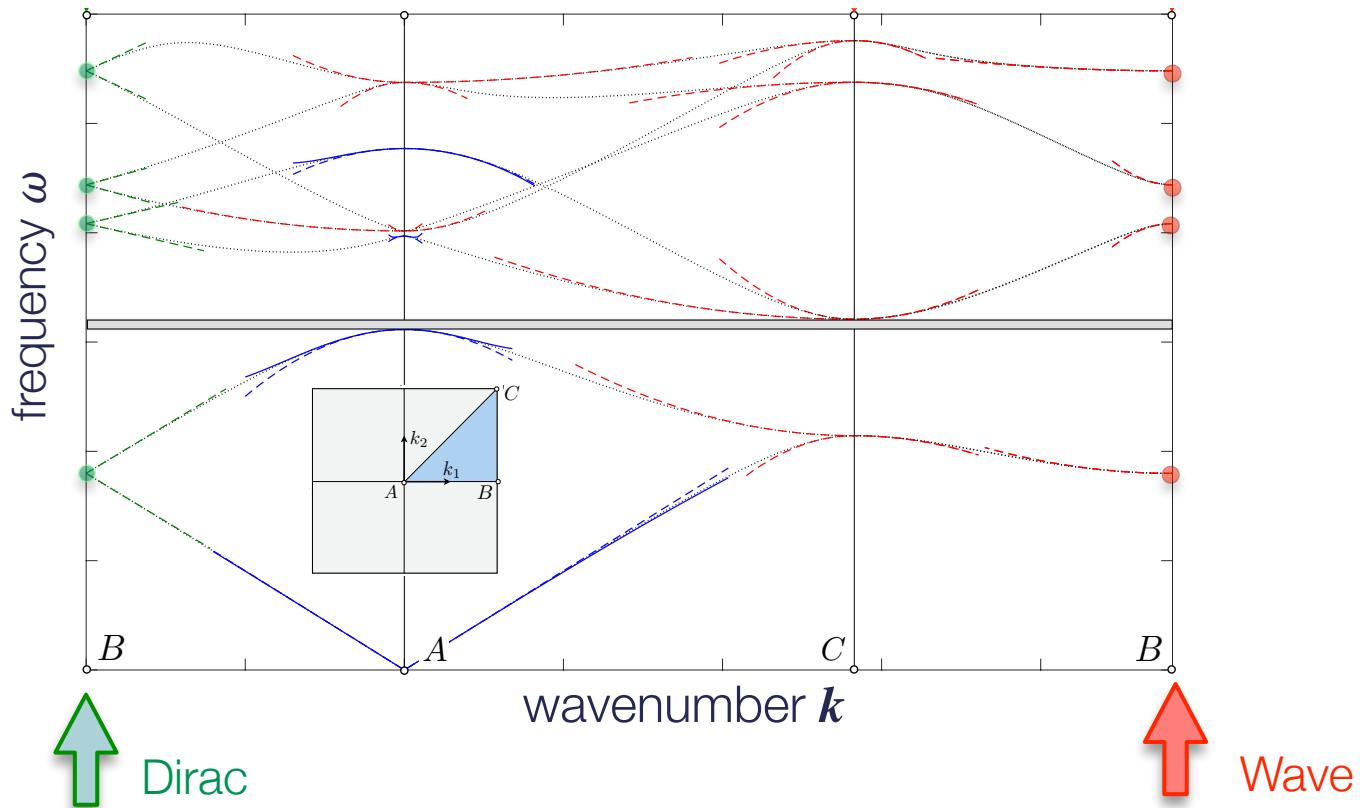
Merging dispersion surfaces

$$\mu_{pq}^{(0)} : (i\hat{\mathbf{k}})^2 \quad \epsilon^{-1} \theta_{pq}^{(0)} \cdot i\hat{\mathbf{k}}$$

$$-\sum_q (B_{pq} + \bar{A}_{pq}) w_{0q} - \sigma \hat{\omega}^2 \sum_q D_{pq} w_{0q} = \langle e^{i\mathbf{k}^\alpha \cdot \mathbf{x}} \rangle_{\alpha}^{p\varphi}, \quad p = \overline{1, Q}$$



Merging dispersion surfaces



Partial rank A_{pq}

Block-diagonal form

$$A_{pq} = \sum_{r,s} U_{pr} \Sigma_{rs} U_{sq}^T$$

$$[\Sigma_{rs}] = \text{diag} \left\{ \mathbb{O}_{N_0}, \underbrace{\begin{bmatrix} 0 & i\tau_{N_0+1} \\ -i\tau_{N_0+1} & 0 \end{bmatrix}}_{O(\epsilon)}, \dots, \underbrace{\begin{bmatrix} 0 & i\tau_N \\ -i\tau_N & 0 \end{bmatrix}, \begin{bmatrix} 0 & i\tau_{N+1} \\ -i\tau_{N+1} & 0 \end{bmatrix}, \dots, \begin{bmatrix} 0 & i\tau_Q \\ -i\tau_Q & 0 \end{bmatrix}}_{O(1)} \right\}$$

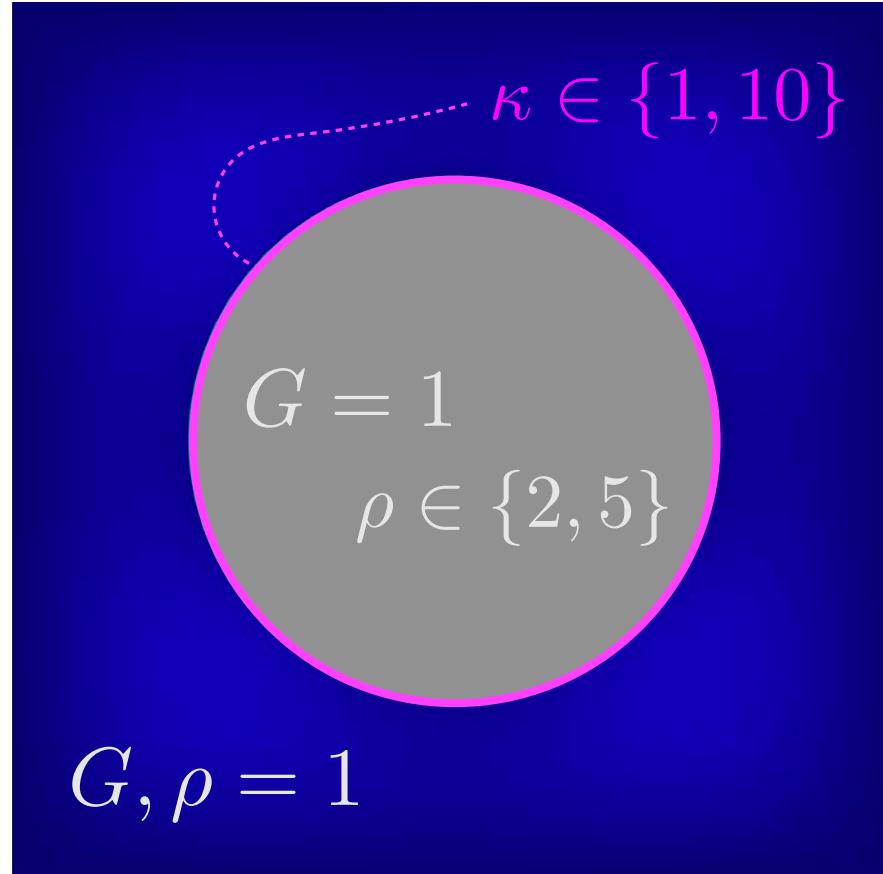
N “parabolas”

$$-\sum_{q \leq N} (B_{pq} + \bar{A}_{pq}) w_{0q} - \sigma \hat{\omega}^2 \sum_{q \leq N} D_{pq} w_{0q} = \langle e^{i\mathbf{k}^a \cdot \mathbf{x}} \rangle_{\mathbf{a}}^{p\varphi}, \quad p = \overline{1, N}$$

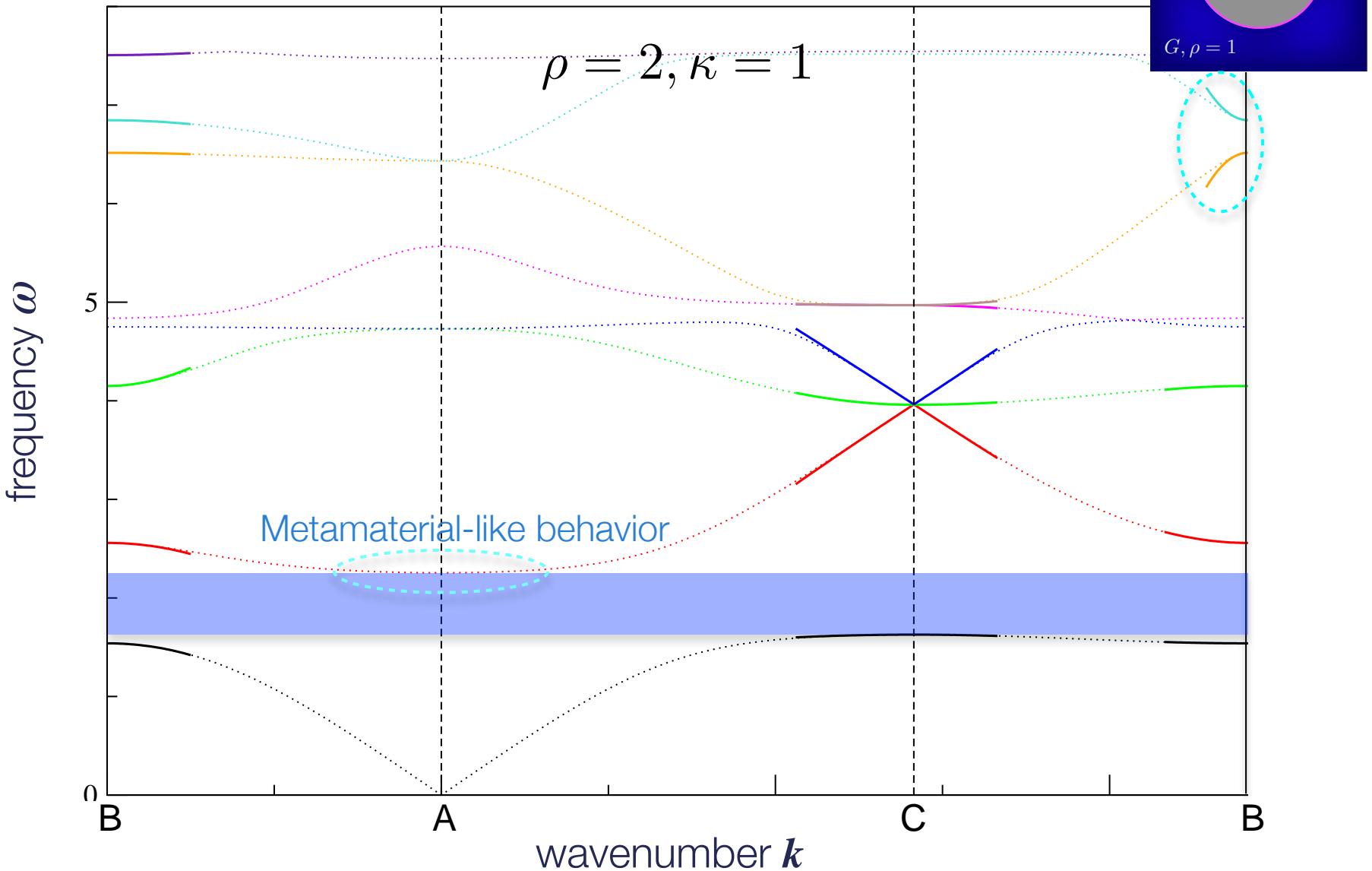
$Q-N$ “cones”

$$\begin{aligned} -\sum_{q > N} A_{pq} w_{1q} - \sigma \check{\omega}^2 \sum_{q > N} D_{pq} w_{1q} &= \\ &= \langle e^{i\mathbf{k}^a \cdot \mathbf{x}} \rangle_{\mathbf{a}}^{p\varphi} + \sum_{q \leq N} w_{0q} (B_{pq} + \sigma \check{\omega}^2 \langle \rho \chi_q^{(1)} \rangle_{\mathbf{a}}^{p\varphi} \cdot i\hat{\mathbf{k}}), \quad p = \overline{N+1, Q} \end{aligned}$$

Oscillator

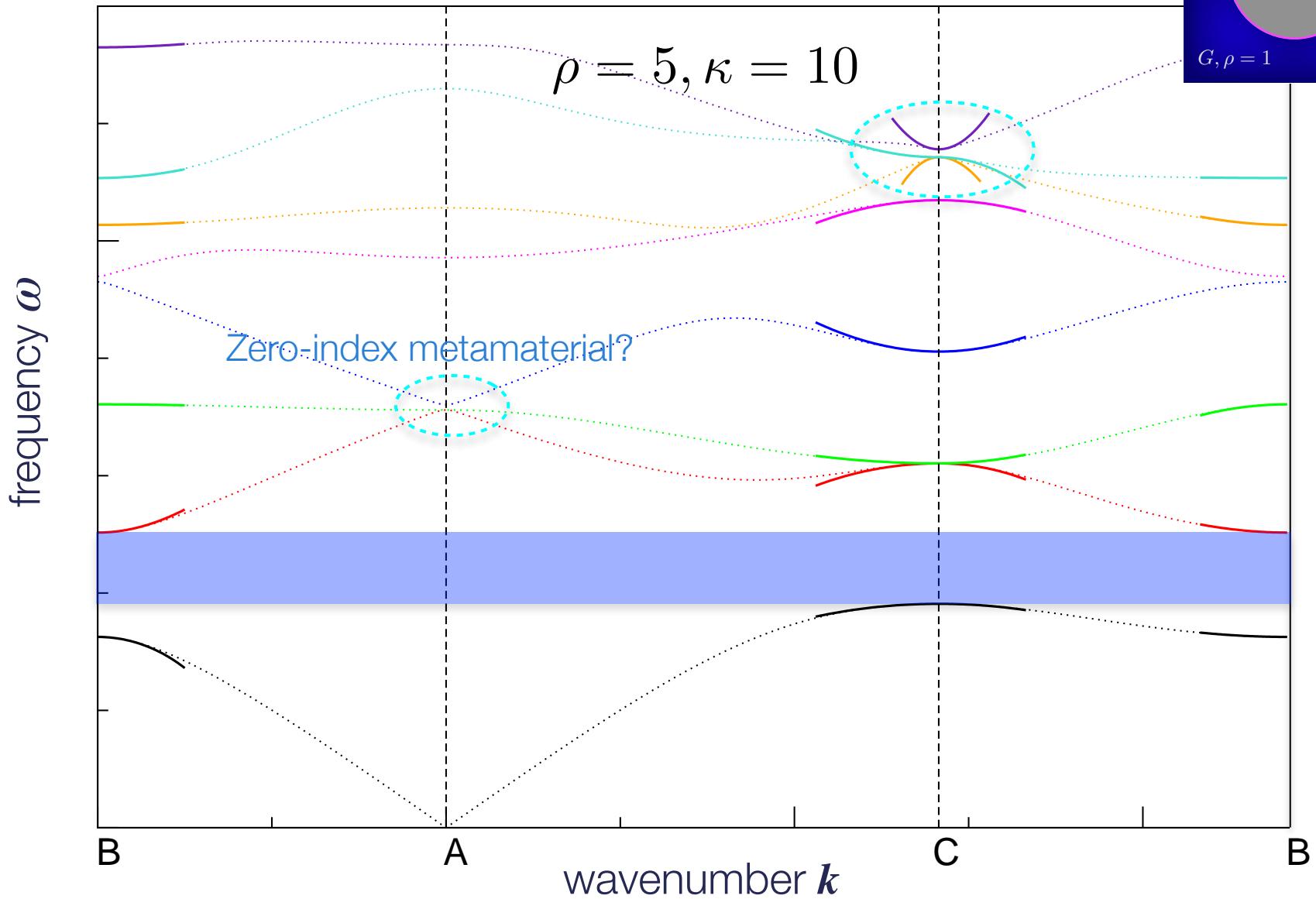
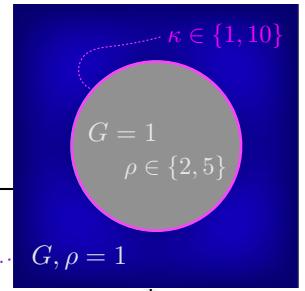


Soft interface



Metamaterial-like behavior

Stiff interface

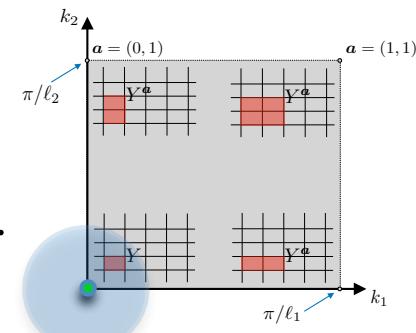


“Microscopic” behavior

Ansatz

$$\tilde{w}(\mathbf{x}) = \epsilon^{-2} \underline{\tilde{w}_0}(\mathbf{x}) + \epsilon^{-1} \underline{\tilde{w}_1}(\mathbf{x}) + \underline{\tilde{w}_2}(\mathbf{x}) + \epsilon \tilde{w}_3(\mathbf{x}) + \dots$$

$$\langle \tilde{w} \rangle = \epsilon^{-2} w_0 + \epsilon^{-1} w_1 + w_2 + \epsilon w_3 + \dots$$



$$- (\boldsymbol{\mu}^{(0)} : (i\hat{\mathbf{k}})^2 + \sigma \rho^{(0)} \hat{\omega}^2) w_0 = \langle 1 \rangle \times \epsilon^{-2}$$

Macro

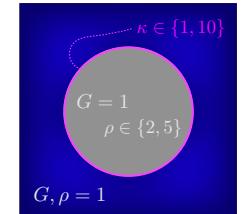
$$- (\boldsymbol{\mu}^{(0)} : (i\hat{\mathbf{k}})^2 + \sigma \rho^{(0)} \hat{\omega}^2) w_1 = - (\boldsymbol{\chi}^{(1)}, 1) \cdot i\hat{\mathbf{k}} \times \epsilon^{-1}$$

$$- (\boldsymbol{\mu}^{(0)} : (i\hat{\mathbf{k}})^2 + \sigma \rho^{(0)} \hat{\omega}^2) w_2 - (\boldsymbol{\mu}^{(2)} : (i\hat{\mathbf{k}})^4 + \sigma \boldsymbol{\rho}^{(2)} : (i\hat{\mathbf{k}})^2 \hat{\omega}^2) w_0 = \sigma \langle \rho \eta^{(0)} \rangle \hat{\omega}^2 + \dots$$

$$\underline{\tilde{w}_0}(\mathbf{x}) = w_0 \tilde{\phi}_n^\circ(\mathbf{x})$$

Micro

$$\underline{\tilde{w}_1}(\mathbf{x}) = w_0 \boldsymbol{\chi}^{(1)}(\mathbf{x}) \cdot i\hat{\mathbf{k}} + w_1 \tilde{\phi}_n^\circ(\mathbf{x})$$

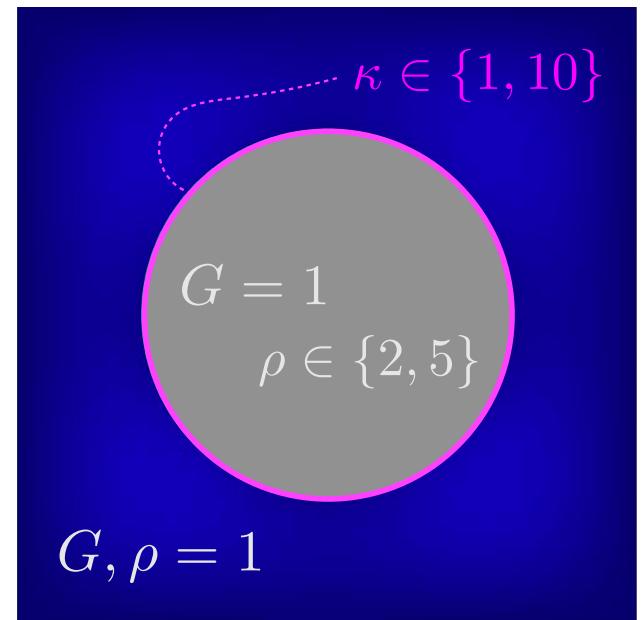
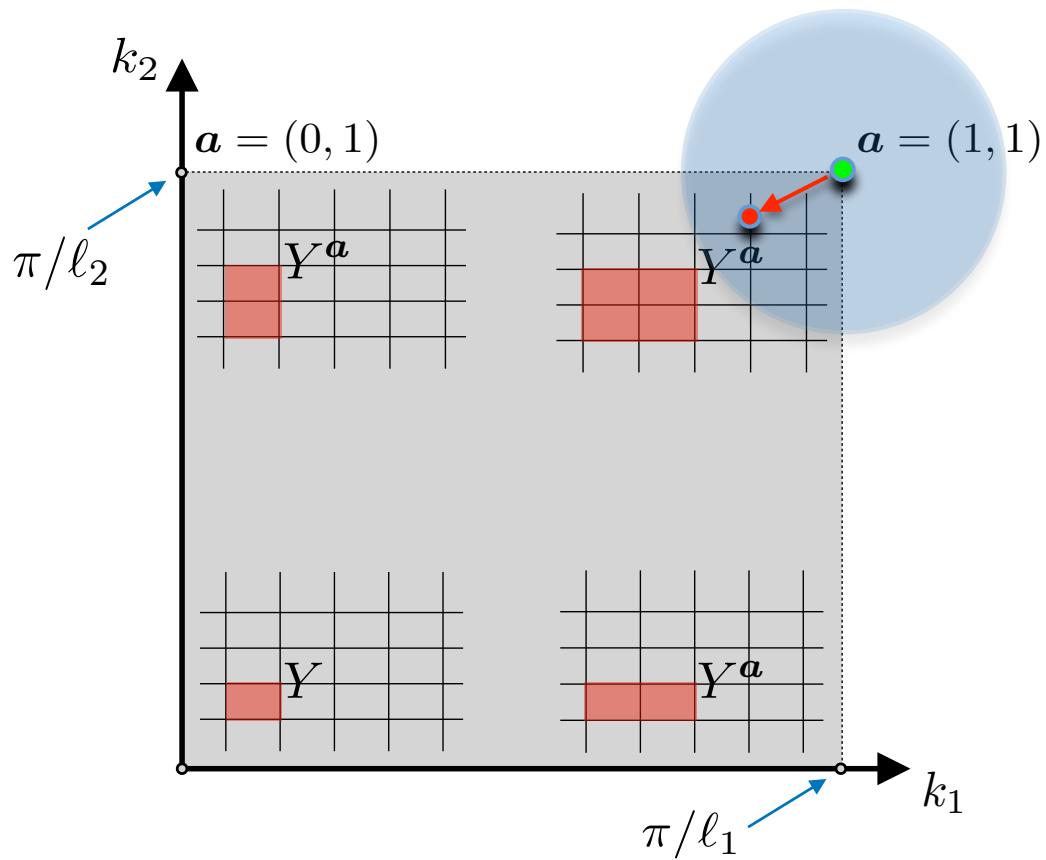


$$\underline{\tilde{w}_2}(\mathbf{x}) = w_0 \boldsymbol{\chi}^{(2)}(\mathbf{x}) : (i\hat{\mathbf{k}})^2 + w_1 \boldsymbol{\chi}^{(1)}(\mathbf{x}) \cdot i\hat{\mathbf{k}} + w_2 \tilde{\phi}_n^\circ(\mathbf{x}) + \eta^{(0)}(\mathbf{x})$$

“Microscopic” behavior

Neighborhood of $\hat{\mathbf{k}}^a$

$$\tilde{w}(\mathbf{x}) = \epsilon^{-2}\tilde{w}_0(\mathbf{x}) + \epsilon^{-1}\tilde{w}_1(\mathbf{x}) + \tilde{w}_2(\mathbf{x}) + \epsilon\tilde{w}_3(\mathbf{x}) + \dots$$



“Microscopic” behavior

Neighborhood of \hat{k}^a

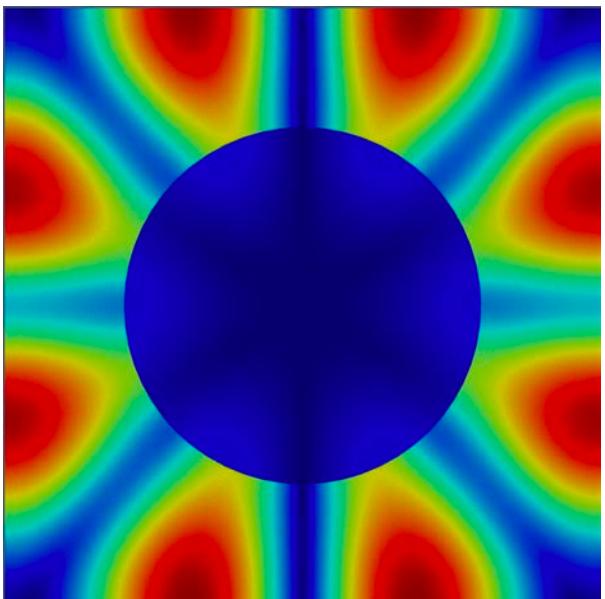
$$\tilde{w}(\mathbf{x}) = \epsilon^{-2}\tilde{w}_0(\mathbf{x}) + \epsilon^{-1}\tilde{w}_1(\mathbf{x}) + \tilde{w}_2(\mathbf{x}) + \dots$$

$$\tilde{w}^{(m)}(\mathbf{x}) = \sum_{j=0}^m \epsilon^{j-2}\tilde{w}_j(\mathbf{x})$$

$$\epsilon = 0.10$$

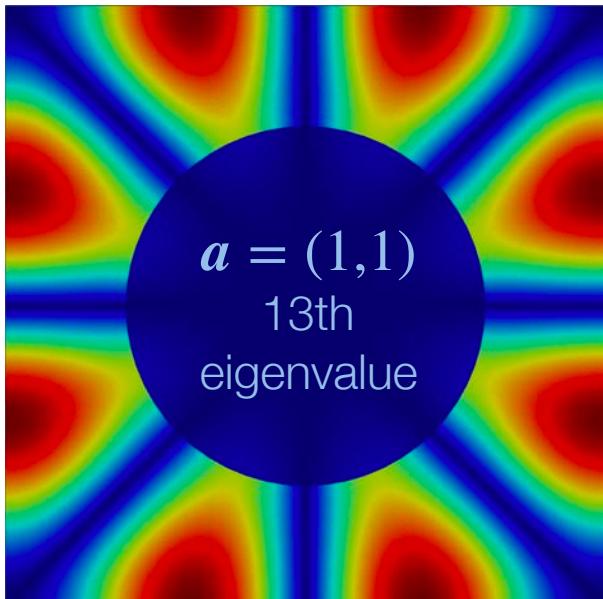
Exact

$$\tilde{w}(\mathbf{x})$$



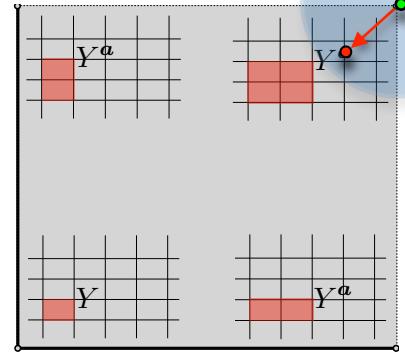
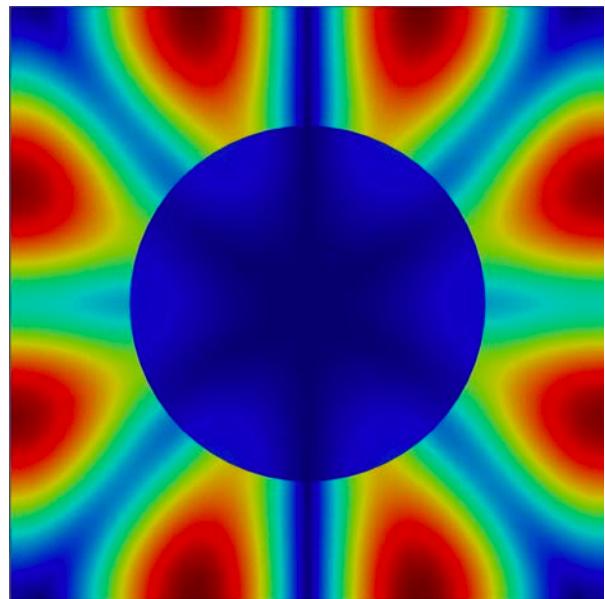
0th order

$$\tilde{w}^{(0)}(\mathbf{x})$$



2nd order

$$\tilde{w}^{(2)}(\mathbf{x})$$



“Microscopic” behavior

Neighborhood of \hat{k}^a

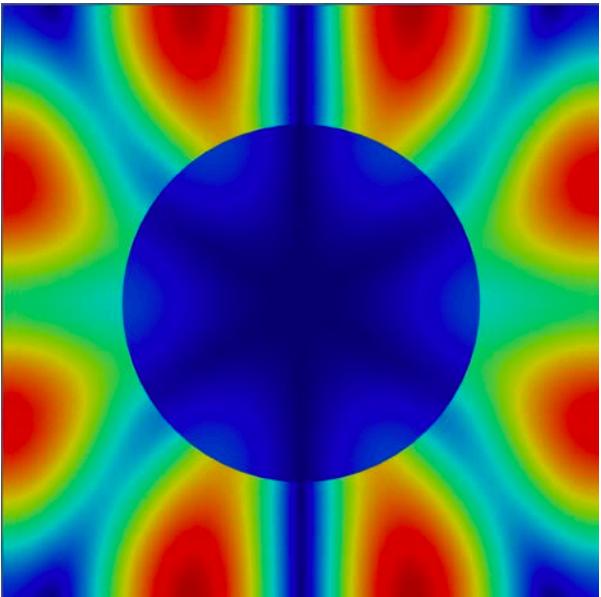
$$\tilde{w}(\mathbf{x}) = \epsilon^{-2}\tilde{w}_0(\mathbf{x}) + \epsilon^{-1}\tilde{w}_1(\mathbf{x}) + \tilde{w}_2(\mathbf{x}) + \dots$$

$$\tilde{w}^{(m)}(\mathbf{x}) = \sum_{j=0}^m \epsilon^{j-2}\tilde{w}_j(\mathbf{x})$$

$$\epsilon = 0.20$$

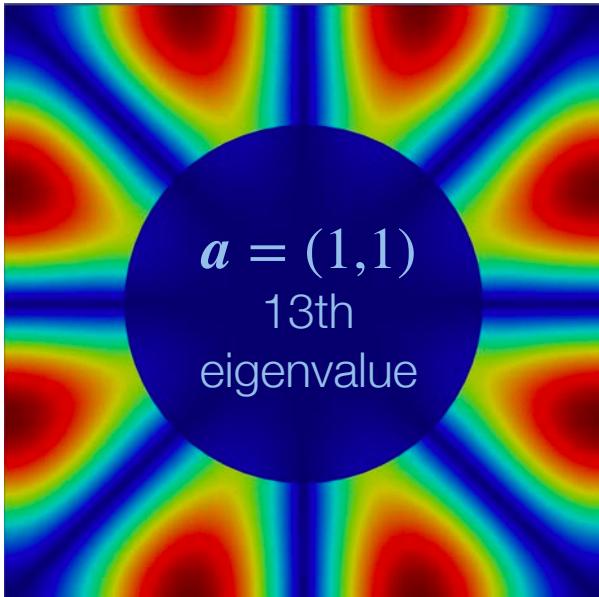
Exact

$$\tilde{w}(\mathbf{x})$$



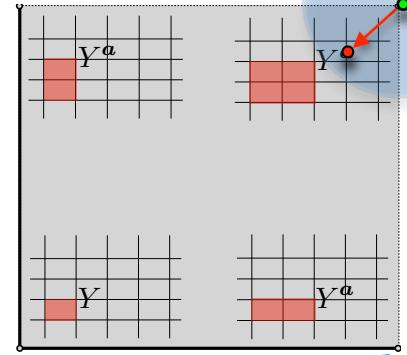
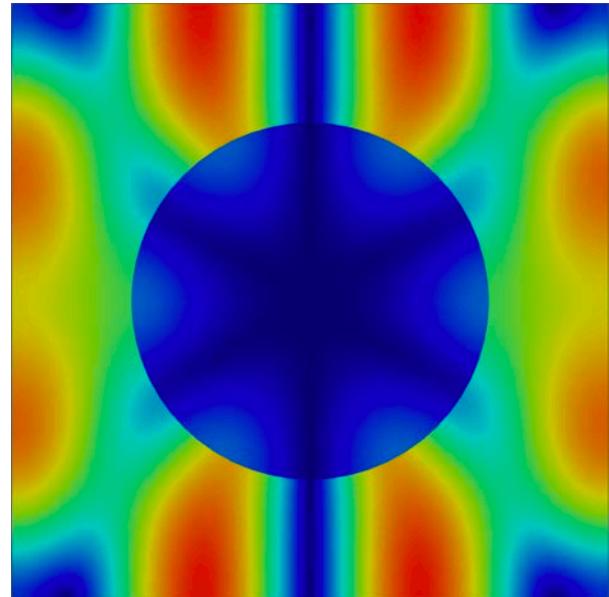
0th order

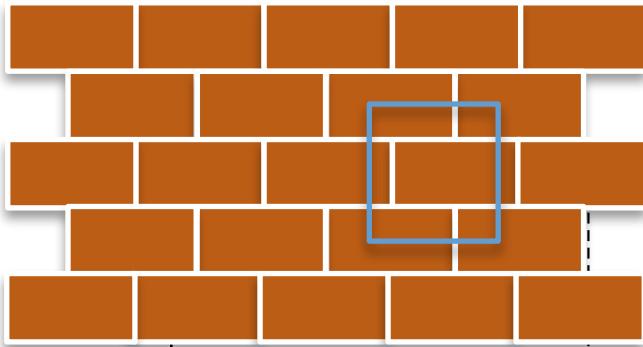
$$\tilde{w}^{(0)}(\mathbf{x})$$



2nd order

$$\tilde{w}^{(2)}(\mathbf{x})$$





Brick wall

